

Pragya Securities Pvt Ltd

Regd. & Corp. Add.: 227 / 228, Kailas Plaza, V. B. Lane, Ghatkopar (E), Mumbai - 400075.
Tel.: 6728 3333 • www.psec.co.in • Investor Grievance : lgrd@psplonline.com
CIN : U67120MH1994PTC078185 GST No.: 27AAACP3247K1ZB

Sr. No. _____



Member : NSE
Single SEBI Reg. No. : INZ 000172539 Dt.: 28-03-2018
Segment : 1) CM 2) F&O 3) FX
Investor Grievance : ignse@nse.co.in **Tel. No.:** 2659 8190

CEO :
Name : Kishor K. Kansagra
Tel. No.: 2501 4787
e-mail : admin@psplonline.com

Member : BSE
Single SEBI Reg. No. : INZ 000172539 Dt.: 28-03-2018
Segment : 1) CM 2) F&O
Investor Grievance : is@bseindia.com **Tel. No.:** 2272 8097

Compliance Officer :
Name : Bhanudas R. Paste
Tel. No.: 2501 4040
e-mail : admin@psplonline.com

Clearing Member for 1) BSE - F & O / BSE-FX : - SMC Global Securities Ltd.
Clearing Member for 2) MSEI- F & O / FX : - ICICI BANK LTD

For any grievance/dispute please contact stock broker at the above address, email Id and phone No. in case not satisfied with the response, please contact the concerned exchange(s) at respective investor grievance, phone No. given above.

KNOW YOUR CLIENT (KYC) APPLICATION FORM

INDEX

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

Sr. No.	Name of the Document	Brief Significance of the document	Pg Nos.
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instructions / Check List.	A-1/5
	Check List	Instructions for filling KYC form. Legal entity & related person.	
		B. Document captures the additional information about the constituent relevant to trading account.	A-6/7
2	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	A-8
3	Rights and obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	B-1/5
4	Risk Disclosure Document (RDD)	Documents detailing risks associated with dealing in the securities market.	B-6/9
5	Fatca	Document detailing for fatca & crs information.	B-17/22
6	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investor's.	B-10/11
7	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	B-12/14
8	MITC	Most Important Terms & Conditions (MITC)	B-23

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

1	Authorisation for Running Account	For the operational convenience, if a client is dealing frequently and wishes to avoid exchange of funds and securities for every exchange/segment separately and on a daily/due date basis, this document may be signed by the client authoring broker to keep the account as running account across all exchange/segment.	A-9/10
2	Writeup on PMLA	(For Information Only) Brief Writeup on the provisions of prevention of money laundering Act 2002 (PMLA) which client needs to know.	B-15/16

Instructions for Signature : Cf - Client's full signature Sf- Sub-broker's full signature

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Legal Entity | Other Than Individual

Important Instructions:

- A) Fields marked with '*' are mandatory fields.
 B) Tick wherever applicable
 C) Please fill the date in DD-MM-YYYY format.
 D) Please fill the form in English and in BLOCK letters.
 E) KYC number of applicant is mandatory for update application.
 F) List of State / U. T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 G) List of two character ISO 3166 country codes is available at the end.
 H) please read section wise detailed guidelines / instructions at the end
 H) For particular section update please tick () in the box available before the section number and strike off the sections not required to be updated.



For office use only

Application Type* ☐ New ☐ Update

(To be filled by financial institution) KYC Number (Mandatory for KYC update request)

Account Type* ☐ Normal ☐ Simplified (for low risk customers) ☐ Small

☐ 1. ENTITY DETAILS (Please refer instruction A at the end)

☐ Name*

Entity constitution type (Please refer instruction B at the end)

Date of incorporation Formation - - Date of Commencement of business - -

Place of incorporation / formation - - Country of incorporation / formation TIN or equivalent issuing country

PAN* ☐ Form 60 furnished

TIN / GST Registration Number

☐ 2. PROOF OF IDENTITY (PoI)* (Please refer instruction B at the end)

- ☐ Officially valid document(s) in respect of person authorised to transact
☐ Certificate of incorporation / Formation ☐ Registration Certificate
☐ Memorandum and article of association ☐ Partnership Deed ☐ Trust Deed
☐ Resolution of board / managing committee ☐ Power of attorney granted to the manager, officers or employees to transact on its behalf
☐ Activity proof - 1 (for sole Proprietorship only) ☐ Activity Proof - 2 (for sole proprietorship only)

3. ADDRESS (please see instruction C at the end)

3.1 Registered office address / place of business

Proof of Address* ☐ certificate of incorporation / formation ☐ Registration Certificate ☐ Other Document

Line 1*
 Line 2
 Line 3 City/Town/Village
 District* Pin / Post Code* State / U. T Code* ISO 3166 Country Code*

3.2 Local Address in india (if different from Above)

Line 1*
 Line 2
 Line 3
 District* Pin / Post Code* State / U. T Code* ISO 3166 Country Code*

4. CONTACT DETAILS All communication will be sent to Mobile number Email-ID provided may be used)(Please refer instruction D at the end

Tel. (off) Fax
 Mobile Email ID
 Mobile Email ID

5. NUMBER OF RELATED PERSONS (please refer instruction E at the end)

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN OF THE Applicant _____

Sr. No.	PAN	Name	DIN (For Directors) / Aadhaar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph



Name & Signature of the Authorised Signatory(ies) Date DD / MM / YYYY

CENTRAL KYC REGISTRY Know Your Customer (KYC) Application Form I Related Person**Important Instructions:**

A) Fields marked with "*" are mandatory fields.

B) Tick '✓' wherever applicable

C) Please fill the date in DD-MM-YYYY format.

D) Please fill the form in English and in BLOCK letters.

E) KYC number of applicant is mandatory for update application.

F) List of State / U. T code as per Indian Motor Vehicle Act, 1988 is available at the end

G) List of two character ISO 3166 country codes is available at the end.

H) please read section wise detailed guidelines / instructions at the end

H) For particular section update please tick () in the box available before the section number and strike off the sections not required to be updated.

**For office use only****Application Type*** ☐ New ☐ Update ☐ Delete(To be filled by financial institution) **KYC Number** (Mandatory for KYC update & Delete request)☐ **1. DETAILS OF RELATED PERSON** (Please refer instruction E at the end)☐ Addition of Related Person☐ Deletion of Related Person☐ Update Related Person DetailsKYC Number of Related Person (if Available*) If KYC number is available, only 'Related Person Type' & 'Name' is mandatory**Related Person Type*** ☐ Director ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official ☐ Proprietor☐ Beneficiary ☐ Authorized Signatory ☐ Beneficial Owner ☐ Power of Attorney Holder ☐ Other (Please specify)DIN (Director Identification Number) (Mandatory if Related Person Type is Director)**1.1 PERSONAL DETAILS** (Please refer instruction E at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)				
Maiden Name				
Father / Spouse Name				
Mother Name				
Date of Birth*				
Gender*	☐ M-Male	☐ F-female	☐ T-Transgender	
Nationality*	☐ IN-Indian	☐ Others (ISO 3166 Country Code)		
PAN			☐ Form 60 furnished	

1.2 PROOF OF IDENTITY AND ADDRESS* (Please refer instruction E at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
- ☐ B- Voter ID Card
- ☐ C- Driving Licence
- ☐ D- NREGA Job Card
- ☐ E- National population Register letter
- ☐ F- proof of Possession of Aadhaar
- II ☐ E-KYC Authentication
- III ☐ Office verification of Aadhaar

PHOTO



Signature / Thumb Impression

Address

Line 1*

Line 2

Line 3 City/Town/Village

District* **Pin / Post Code*** **State / U. T Code*** **ISO 3166 Country Code***

☐ **1.2 CURRENT ADDRESS DETAILS** (Please refer instruction E at the end)☐ Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
- ☐ B- Voter ID Card
- ☐ C- Driving Licence
- ☐ D- NREGA Job Card
- ☐ E- National population Register letter
- ☐ F- proof of Possession of Aadhaar
- II ☐ E-KYC Authentication
- III ☐ Office verification of Aadhaar

IV ☐ Deemed POAV ☐ Self Declaration

Line 1*																											
Line 2																											
Line 3																											
District*							Pin / Post Code*					State / U. T Code*			ISO 3166 Country Code*												

☐ **1.4 CONTACT DETAILS** (All communications will be sent on provided mobile no. /Email-ID) (Please refer instruction D at the end)

Tel (off)									Tel. (Res)			--					Mobile			--									
FAX									Email ID																				

8. APPLICANT DECLARATION

I heraby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC registry through SMS / Email on the above registered number/email address.

Date : - - Place :

XX

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification
☐ Digital KYC Process ☐ Equivalent e-document

KYC & INPERSON VERIFICATION CARRIED OUT BY		INSTITUTION DETAILS	
Date	DD DD - MM MM - YYYY YYYY	Name	
Emp. Name		Code	
Emp. Code			
Emp. Designation			
Emp. Branch			

A. BANK ACCOUNT(S) DETAILS

No.	MICR No.	Bank Name, Branch Add. & Tel. No.	A/c Type	Account No.	IFSC Code
1					
2					

Copy of cancelled cheque Leaf/Pass Book/Bank statement specifying name of the constituent, MICR Code or / and IFSC Code of the bank should be submitted.

A. BANK ACCOUNT(S) DETAILS

No.	DP Name	Beneficiary Name	DP ID No.	BOID No.	Depository Name
1 x					NSDL / CDSL
2					NSDL / CDSL

☒ Shares bought by you will be transferred to your DP A/c stated by you at Sr. No. 1

TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE & BSE
All Segments	☒
Cash / Mutual Fund	☒
F & O	☒
Currency	☒
Debt	☒
Commodity Derivatives	☒

If you do not wish to trade in any of segments / Mutual Fund, please mention here

☒ _____

D. PAST ACTIONS

Details of any action / proceedings initiated / pending/taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its partners / promoters / whole time directors / authorised persons in charge of dealing in securities during the last 3 years:

E. DEALING THROUGH SUB-BROKERS / AUTHORISED PERSON AND OTHER STOCK BROKERS

■ If client is dealing through the sub-broker, provide the following details:

Sub - Broker/AP Name				BSE SEBI Reg. No.	
				NSE SEBI Reg. No.	
Regd. Off. Address				SEBI Reg. No.	
				SEBI Reg. No.	
Signature	Sf	Tel. No.		Remister/AP	
		Fax No.		Remister/AP	
		Website		Remister/AP	

Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker		Name of Sub-Broker, if any		Client Code		Exchange	
Details of disputes / dues pending from/to such stock broker / sub-broker:							

Please tick, if any of the authorised signatories / Promoters / Karta / Trustees / Whole Time Directors is either politically Exposed Person (RFEP) ☐ Please provide details as per Annexure 2.2 A

Any other information

PERSONS AUTHORISED TO DEAL IN SECURITIES ON BEHALF OF COMPANY / FIRM / OTHERS

Name 1				Photograph of Authorised Person
Designation				
Residential Address				
PAN		Signature		
UID			Cf	
Name 2				Photograph of Authorised Person
Designation				
Residential Address				
PAN		Signature		
UID			Cf	
Any other information				

G INTRODUCE DETAILS (Optional)

Name			Signature	
Add. / Tel.				
Status of the Introducer	Sub Broker / Remisier / Authorised Person / Existing Client / Other please specify _____			

DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. in case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I/We confirm having read / been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document' I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any.

Name			Signature with stamp	
Place		Date		Cf

FOR OFFICE USE ONLY

UCC Code allotted to the client:		
Documents verified with originals / in person Verification done by		Client interviewed / Approved by
Name of the Employee		
Signature		
Designation		
Employee Code		
Date		
I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note, I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.		
Date _____	Signature of the Authorised Signatory	Seal / Stamp of the stock broker

TARIFF SHEET

BROKERAGE FOR TRADING ACCOUNT

Transaction Type	Equity Cash Intraday (1st Leg)	Equity Cash Intraday (2nd Leg)	Equity Cash Delivery	Equity F&O Futures (1st Leg)	Equity F&O Futures (2nd Leg)	Equity F&O Options	Currency Futures (1st Leg)	Currency Futures (2nd Leg)	Currency Options
Brokerage Rate (%)	0.10%	0.10%	1.50%	0.10%	0.10%	1.00% of Premium	0.05%	0.05%	1.00% of Premium
Mini Brokerage (₹)	0.10	0.10	0.10	0.10	0.10	₹ 100 per lot	0.05	0.05	₹ 50 per lot
Special Rates: As mutually agreed									

Securities Lending & Borrowing :- Not exceeding 25% of lending fees earned

INTEREST CHARGES

- Late / Delayed Payment : **1.5% Per month**
- Margin Trading Facility (MTF) : **1.5% Per month**

STATUTORY & OTHER CHARGES (At Actuals)

- GST
- Stock Exchange Transaction Charges
- SEBI Fees
- Securities Transaction Tax (STT)
- Stamp Duty
- Exchange Penalties for Short Margin (as permitted by law)
- Penalties due to client errors / omissions (as permitted by law)
- Any other charges communicated to the client

REVISION CLAUSE

- The Intermediary may increase brokerage by giving **30 day's prior notice**.
- No notice is required for reduction in brokerage for a specific period or until further notice.

NOTE :-

Statutory and other charges may be revised from time to time as notified by the relevant authorities. The brokerage rate shall not exceed 2.5% of the transaction value or the maximum rates as notified by the relevant authorities from time to time.

X

Client's Signature

Sf

Signature of Sub-Broker / AP / Introducer

Bf

Signature of Broker

AUTHORISATION FOR RUNNING ACCOUNT

To,
PRAGYA SECURITIES PVT LTD
 Member: NSE / BSE
 (Capital Market / F&O and Currency Derivatives Segments)

Dated : _____

1. I/We am/are dealing with you in my/our accounts in the exchange/segments as specified in KYC documents. I/We have put my/our signatures here under to give my/our consent to treat my/our accounts with you as running account for the purpose of operational convenience.
2. I/We hereby give my/our consent and authorise you to set off and / or keep in abeyance my/our pay-out offunds/securities / premium / M to M and / or funds / securities / other collaterals placed by me/us for margins, against the pay-in of funds / securities / premium / MtoM and margins in any of the my/our account with you in any exchange / segment. I/we also authorise you to keep and utilise any funds / securities laying credit with you in any of my/our above mentioned accounts towards all the type of margins, including adhoc margin levied by you over and above exchange specified margins on my/our outstanding transactions and/or towards the pay-in and/or margins on our transactions to be done in future with you in any exchange/segment. I/We further authorise you to onward deposit my/our securities with exchanges and/or clearing corporation/house, for the purpose of margin / exposure limits. I/we shall not be entitled to claim from you any price differences, loss of profit and / or funds in my/our account. I/we assume the responsibility to take necessary action for availing corporate benefits on the retained securities where ever required and shall not be entitled to claim and damage or loss of profit on account of lapsed corporate benefits due to non action on my/our part.
3. I/We, also authorise you to keep with you Premium/MTOM/Securities and pay-out credits and utilize it for the above mentioned purposes until we specifically ask for the withdrawal. I/We also authorize you to issue your interbank a/c. transfer cheques and debit my/our account where there is a credit balance and credit my/our account where there is a debit balance and pass on the JV entries amongst my/our said accounts. Such inter exchange/segment transfer of funds / securities can be affected as and when required and/or at specific time interval as per your accounting practice through single cheque / JV for my specific account and as apart of the consolidated actual fund transfer through control account. I/We hereby declare and agree that any such inter exchange / segment adjustments of fund and securities shall be treated as due discharge of your obligations and I / We shall not have right to raise any claim on you without taking into account any such inter exchange / segment adjustments. I/We shall not have right to demand any payment of funds / delivery of securities until there is a net credit in my/our account across all the exchange / segment.
4. I/We, understand and agree that in case of payments towards pay-in obligations/margins are made through cheque / pay order / demand draft, the securities may be released and/or transaction orders may be executed only upon its realisation in your bank account and/or at your discretion only.
5. I/We, understand and agree that in case of purchase of securities, some times you may be unable to deliver the securities to the purchasing client on pay out day due to non receipt/short receipt of the securities from the exchange or from selling client when there are internal positions. in such cases, the securities shall be delivered to the purchasing client as and when it shall be received from the exchange or from the selling client or the transactions shall be closed out according to the rules and regulations of the concerned stock exchange. In such an event, the purchasing Client shall not be entitled to claim any price difference or loss of profit or any other charges on such transactions/securities.
6. I/We, understand and agree that the ledger statements in respect of transactions entered on all the exchange/segment shall be viewed as combined for the purpose of convenience and the payments made by me / us may be appropriated by you towards any exchange segment at your discretion. I/we agree to settle our mutual obligations of funds and securities at least once in month/quarter. For this purpose I/we authorize you to pass the required journal entries in my ledger to adjust inter-exchange/intersegmentdebits/credits and such journal entries, backed by actual funds transfer shall be considered as due discharge of your obligation. I/we further authorize you to automatically send/credit the securities and funds in my designated demat / banking account. I/we agree to verify our statements of funds and securities on your website and as may be sent by you from time to time. I/we shall bring to your notice, the discrepancy if any preferably within seven days from the end of respective settlement date.
7. I/We, understand and agree that without prejudice to your other rights (including the right to refer a matter to the arbitration), you shall be entitled to liquidate/close out all or any of the our positions as well as securities placed with/retained by you as margin for non-payment of margins, M to M, premiums, other lawful amounts, outstanding debts etc. and adjust the proceeds of such liquidation / close out against my/our liabilities / obligations. Such liquidation or close out may be effected in any exchange / segment against overdue of any other

exchange/segment in which I/we do business with you and all the consequential losses and charges shall be born by me/us. I/we understand and agree that all the funds and securities placed with you or retained by you towards the margins are subject to a lien in your favour and while enforcing your lien, you may decide at your discretion the liquidation of any particular funds / securities and its modalities.

8. I/we understand and agree that the exchange/segment wise intra-day/end of day outstanding positions and volume of business shall be decided mutually from time to time considering the availability of required margins to the credit of my/our account and subject to the limits specified by the Exchange/Clearing house/SEBI. However, not with standing any such arrangement in this regard. you have absolute discretion to reduce the outstanding positions and/or restrict the dealings on any exchange / segment / securities without citing any reasons.
9. I/we understand and acknowledge that the placing of order with you electronically or otherwise does not guarantee its execution at specific price. I/we understand that the orders are generally be routed to the exchange's computer system under the normal course of business. However, you shall not be liable for any resultant actual or notional profit / loss arising from difference in order and execution prices due to the delay in receiving the order instructions by the computer operator and / or due to the partial non-execution of orders on account of connectivity or system failure and software bugs at exchange or brokers office. suspension of trading, non availability of required quantity or for any other reasons whatsoever beyond the control of the trading member. I/we also understand and acknowledge that in case of market price orders, the actual execution price and quantity may be different that the order price and quantity.
10. I/we understand and acknowledge that any of your officials/employees/sub-brokers/affiliates are not authorised to advise the client and / or provide the information and recommendations about suitability and/or profitability of any investments and/or to carry out operations in my account at their discretion. I/we assumes full responsibility of our investment decisions. Neither you nor any of your directors, officials, employees, agent, sub-broker, affiliates shall have any responsibility / liability with respect to resultant profit / loss from any of my/our investment decision or transaction.
11. I/we hereby declare that I/we am / are not acting as unregistered intermediary on behalf of any other person and not associated with any other Stock Broker in the capacity of sub-broker/authorised person / authorised user / director/partner/employee and shall not get my/our self/selves so associated without prior written intimation to you.
12. I/we undertake and agree that I/we shall not, whether acting alone or in cencert with others, directly or indirectly, hold and controll the number of shares and/or take positions in any security beyond the limits fixed from time to time by the said Exchange / SEBI or under any other act, rules and regulations.
13. I/we hereby undertake to make, from time to time the necessary disclosures regarding my/our direct / indirect holding in securities business associations, other business dealings and all such other disclosures of information which are required to fulfill the statutory obligations under any rules, regulations and bye-laws of the Exchange / SEBI or under any other act, rules and regulations.
14. I/we are aware that you may tape-record the telephonic and on the desk conversation between the me/us and your dealers / sub-brokers/employees and I/we specifically permit you to use such records as an evidence to resolve disputes in connection with the transactions.
15. I/we understand and agree that any notice served on me/us either by hand delivery thereof or by Ordinary post / Registered AD/ Courier / Fax at the addresses registered with you or on the designated email Ids. would be deemed to have seen duly served. Not with standing anything statedc above, communication relating to orders. margin maintenance calls and other similar matters in the ordinary course of dealings between us may be communicated orally or telephonically. It is specifically agreed by me/us that the margin calls on outstanding positions under the abnormal market conditions given by you through telephone and / or mobile SMS shall be deemed as margin call notice duly served on me to enable you to liquidate / close out the outstanding positions.
16. I/we understand that this authorization can be revoked by me/us anytime by giving a written intimation to this effect at the registered / corporate office of the broker. However, the revocation shall be effective prospectively from the date of its receipt and shall not effect the rights of the broker to take necessary actions under this authorization with respect to the outstanding obligations of already executed transaction till the date of receipt of the revocation intimation.

Cf

Client's Signature

Account Code: _____

Details of ultimate beneficial owner including additional FATCA & CRS information

Name of the entity			
Type of Address given at KRA	Residential or Business	Residential	Business
Registered Office			
"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"			
Customer ID / Folio Number			
PAN	Date of incorporation		
City of incorporation			
Country of incorporation			
Entity Constitution Type	a Partnership Firm b HUF c Private Limited Company d Public Limited Company e Society f AOP/BOI g Trust h Liquidator i Limited Liability Partnership j Artificial Juridical Person k FII l FI m FI-III n FI-III o Bank p Government Body q Non-Government Organisation r Defense s Society t Others specify		
Please tick as appropriate			
Please tick the applicable tax resident declaration -			
1. Is "Entity" a tax resident of any country other than India (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)			
Country	Tax Identification Number	Identification Type (TIN or Other, please specify)	
*in case Tax Identification Number is not available, kindly provide its functional equivalent. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.			
In case the Entity's Country of Incorporation / Tax residence is U.S. but entity is not a Specified U.S. Person, Mention Entity's exemption code here			
FATCA & CRS Declaration			
(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)			
PART A (to be filled by Financial Institution or Direct Reporting NFEs)			
1. We are a,	GIIN		
Financial Institution	Note : If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below		
or	Name of sponsoring entity		
Direct reporting NFE	(please tick as appropriate)		
GIIN not available (Please tick as applicable)			
If the entity is a financial institution, Not required to apply for - please specify 2 digits sub-category			
Not obtained - Non-participating FI			
PART B (Please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")			
1. If the Entity a publicly traded company (that is a company whose shares are regularly traded on an established securities market)	Yes ☒ (if yes, please specify any one stock exchange on which the stock is regularly traded)		
	Name of stock exchange		
2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes ☒ (if yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)		
	Name of listed company		
	Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed Company		
	Name of stock exchange		
3. Is the Entity an active NFE	Yes ☒ (If yes, please fill UBO declaration in the next section.)		
	Nature of Business		
	Please specify the sub-category of Active NFE (mention code-refer 2c of Part D)		
4. Is the Entity an passive NFE	Yes ☒ (If yes, please fill UBO declaration in the next section.)		
	Nature of Business		
Refer 2a of part D Refer 2b of Part D Refer 2c of Part D Refer 3(ii) of Part D Refer 1 of Part D Refer 3(vii) of part D Refer 1A of Part O			

UBO Declaration

Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company
☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust
☐ Others (please specify _____)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s)

Owner documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E

Name - Beneficial owner / Controlling Person Country - Tax Residency Tax ID No. - Or functional equivalent for each country	Tax ID Type - TIN or other please specify Beneficial Interest - In percentage Type Code - of controlling person	Address - include state, country, PIN / ZIP Code & Contract Details Address Type -
1. Name Country Tax ID No.	Tax ID Type Type Code Address Type ☐ Residence ☐ Business ☐ Registered office	Address ZIP <table border="1" style="display: inline-table; width: 100px; height: 15px; vertical-align: middle;"></table> State: Country:
2. Name Country Tax ID No.	Tax ID Type Type Code Address Type ☐ Residence ☐ Business ☐ Registered office	Address ZIP <table border="1" style="display: inline-table; width: 100px; height: 15px; vertical-align: middle;"></table> State: Country:
3. Name Country Tax ID No.	Tax ID Type Type Code Address Type ☐ Residence ☐ Business ☐ Registered office	Address ZIP <table border="1" style="display: inline-table; width: 100px; height: 15px; vertical-align: middle;"></table> State: Country:

If passive NFE, please provide below additional details.

(Please attach additional sheets if necessary)

PAN / Any other Identification Number (PAN, Aadhar, Passport, Election ID, Govt. ID, Driving Licence, NREGA job card Others) City of Birth - Country of Birth	Occupation Type - Service Business, Others Nationality Father's Name - Mandatory if PAN isnot available	DOB - Date of birth Gender - Male, Female, Other
1. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender ☒ Male ☐ Female ☐ Others
2. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender ☒ Male ☐ Female ☐ Others
3. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender ☒ Male ☐ Female ☐ Others

Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:

* To include US, where controlling person is a US citizen or green card holder

In case Tax Identification Number is not available, kindly provide functional equivalent

*Refer 3(III) of Part D | 'Refer 3(VI) of Part D | "Refer 3(IV) (A) of Part D"

FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the income-tax Rules, 1962, which rules require Indian financial institutions such as Bank to seek additional personal, tax and beneficial owner information and certain certification and documentation from all over account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation there to.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor, if any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Certification

I/ We have understood the information requirement of this form (read along with the the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this form is true, correct, and complete,. I/We also confirm that I/We have read and understood the FATCA & CRS Terms and conditions below and hereby accept the same.

Name			
Designation			
Signature	Signature	Signature	Place _____ Date / / _____

PART D FATCA Instructions & Definitions

1 Financial institution (F1) - The term F1 means and financial institution that is a Depository Institution, Custodial Institution, Investment Entity or specified insurance company, as defined.

Depository institution is an entity that accepts deposits in the ordinary course of banking or similar business.

Custodial Institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equal or exceeds 20 percent of the entity's gross income during the shorter of

- (i) The three financial years preceding the year in which determination is made; or
- (ii) The period during which the entity has been in existence, whichever is less.

Investment entity is any entity :

That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer

- (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
- (ii) individual and collective portfolio management; or
- (iii) investing, administering or managing funds, money or financial asset or money on behalf of other persons;

The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets. if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above. or an entity gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence.

The term "investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.

Specified insurance company; Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

FI not required to apply for GIIN	
A. Reasons why FI not required to apply for GIIN;	
Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Government Entity, International Organization or Central Bank.
03	Non-public fund of the armed forces, an employee's state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity.
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective Investment vehicle.
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored Investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are :

a. Publicly traded company (listed company)

A company is publicly traded if its stock is regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

C. Active NFE : (is any one of the following)	
Code	Sub-Category
1)	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income.
2)	The NFE is a Governmental Entity, an international Organisation, a Central Bank, or an entity wholly owned by one or more of the foregoing;
3)	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to one or more subsidiaries that engage in trades or businesses other than the business of a Financial institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.
4)	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE.
5)	The NFE was not a Financial institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial institution:
6)	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution:
7)	Any NFE that fulfills all of the following requirements: It is established and operated in india exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes or it is established and operated in india and it is a professional organisation, business league, chamber of commerce, labor organisation agricultural or horticultural organization civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from Income tax in india; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation - For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (i) an Investor Protection Fund referred to in clause (23EA); (ii) a Credit Guarantee Fund Trust for small industries referred to in clause 23EB; and (iii) an investor protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other Definitions

(i) Related entity An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.
(ii) Passive NFE The term passive NFE means (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or (ii) an investment entity defined in clause (b) of these instructions (iii) a withholding foreign partnership or withholding foreign trust; (Note : Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
(iii) Passive income The term passive Income includes income by way of : (1) Dividends. (2) Interest (3) Income equivalent to interest, (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE (5) Annuities (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income. (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets, (8) The excess of foreign currency gains over foreign currency losses (9) Net income from swaps (10) Amounts received under cash value insurance contracts But passive income will not include, In case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.
(iv) Controlling persons Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. in the case of a legal arrangement other than trust, controlling person means persons in equivalent or similar positions. Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than individuals) are required to provide details of Beneficial Owner(s) ('BO'), Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- i. More than 25% of shares or capital or profit of the juridical person, where the juridical person is a company
- ii. More than 15 % of the capital or profits of the juridical person, where the juridical person is a company
- iii. More than 15 % of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

where the client is the trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15 % or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type ;	
Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement-Other-Settlor or equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-protector equivalent
14	Unknown

(v) Specified U.S. person-A.U.S. person other than the following :

- (i) a corporation the stock of which is regularly traded on one or more established securities markets.
- (ii) any corporation that is member of the same expanded affiliated group as defined in section 1471 (e) (2) of the U.S. Internal revenue Code, as a corporation described in clause (i);
- (iii) the united states or any wholly owned agency or instrumentality thereof.
- (iv) any state of the united States, any U. S. Territory, any political subdivision of any of the foregoing or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organisation exempt from taxation under section 501(a) of the U.S. internal revenue code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 381 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code.
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange commission under the investment company act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue code;
- (x) any trust that is exempt from tax under section 864(c) of the U.S. internal Revenue code or that is described in section 4947 (a)(1) of the U.S. Internal Revenue Code;
- (x) a dealer in securities, commodities, or derivatives financial instruments (including notional principal contracts, futures, forwards, and options that is registered as such under the laws of the united states or any state.
- (xii) a broker as defined in section 6045(c) of the U. S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

An FFI meets the following requirements:

- (a) The FFI is an FFI solely because it is an investment entity;
- (b) The FFI is not owned by or related to any FFI that is a depository institution, custodial, or specified insurance company;
- (c) The FFI does not maintain a financial account for any non participating FFI;
- (d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify me withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IPS (or, in the case of a reporting model 1 I GA, to the relevant foreign government or agency thereof all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-complaint FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

(viii) Direct reporting NFE

A direct reporting NPFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(viii) Exemption code for U.S. persons	
Code	Sub-category
A	An Organisation exempt from tax under section 501 (a) or any individual retirement plan as defined in section 7701(a)(37)
B	The united States or any of its agencies or instrumenttalities
C	A state the district of Columbia a possession of the united states, or any of their political subdivisions or instrumenttalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporartion that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards, and options) that is registered as such under the laws of the United states or any state.
G	A real estate investment trust.
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A Trust exempt from tax under section 864 or described in section 4947(a)(i)
M	A tax exempt trust under a section 408(b) plan or section 457 (g) plan

INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY STOCK BROKERS TO CLIENT

(All the clauses mentioned in the 'Rights and Obligations' document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)

1. Stock broker is eligible for providing Internet based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.
2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges /SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/ unauthorized access through his username /password /account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The stock broker shall make the client aware that of SEBI Circular SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 and NSE Circular NSE/INSP/61529 Dated April 08, 2024 wrt Framework for Trading Members to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients to their clients on account of suspicious activities shall be laid down In view of the above, we request you to follow the Step in case of blocking your Trading Account The client can Call ,email or SMS the request to block their trading account at Email ID stoptrade@psplonline.com and/ or Mobile [9321181584](tel:9321181584) Further Client can also send request on aforementioned Email id and Mobile no. to activate their blocked Trading Account. Policy for the aforementioned facility is also published on the PSPL website. Annexure for same is the component of KYC that needs to be signed by the client.

8. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.
9. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.
10. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
11. The Client shall not have any claim against the Exchange or the Stock broker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or non-execution of his orders due to any link/system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stock broker/Exchanges.

Client Code : _____

Place : _____

Date : _____



Applicants' Signature

To
PRAGYA SECURITIES PVT. LTD.
227/228,Kailas Plaza, V.B. Lane,
Ghatkopar (W),Mumbai-400075.

Sub: - Internet Trading

I/We wish to trade through internet in various segments of Exchanges and confirm that I am/we are fully aware of and understands the risk associated with availing of a service of routing orders through internet including the risk of misuse and unauthorized use of my/our Username and/or Password by a third party and the risk of a person hacking into our account on your ITORS system and unauthorized routing order on behalf of us through the system. I/we agree that we shall be fully liable and responsible for any and all unauthorized use and misuse of my/our Password and /or Username and also for any and all acts done by any person through your ITORS system on our Username in any manner whatsoever.

I/We hereby confirm you to send our Username and Password on the below mentioned e-mail address.

Email Address: _____

The non-receipt of bounced email notification by you shall not be construed as a ground for dispute in the future.

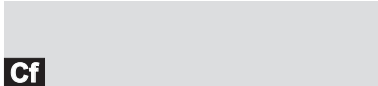
Thanks and best regards,

Applicant's Name			
Applicant's Address			
Applicant's Signature			
Mobile No.		Telephone No.	
Place		Date	

ACKNOWLEDGMENT

I/We hereby acknowledge the receipt of copies of following documents / papers.

- Rights and obligations.
- Risk Disclosure Document
- Guidance Note - Do's and Dont's
- Operational Business Policies
- PMLA Information
- Instructions / Check List
- Photocopy of KYC form, Running A/c. Authorization and Tariff Sheet signed and submitted by me.
- Account opening Letter



Client's Signature

Date :